

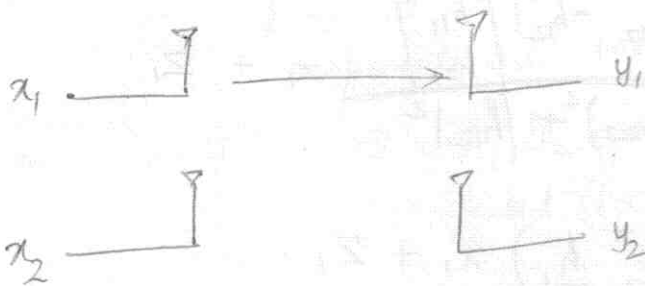
## Lecture 18: (22 Sep 2008)

MIMO: 2x2 example (contd.)

Scheme	Diversity gain	Degrees of freedom utilized per symbol time
Repetition	4	1/2
Alamouti	4	1
V-BLAST (Spatial Multiplexing)	2	2

For the spatial multiplexing scheme, we used the ML detector. Both symbols (from the  $2_{tx}$  antennas) are decoded jointly. This is more complex than detection for the Alamouti scheme (which is a linear receiver to separate the two symbols in one codeword).

Now, we will study V-BLAST with a decorrelator (a sub-optimal detector) and summarize the various MISO, SIMO, MIMO schemes studied so far (what is the equivalent SISO system we get in each case?)



$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} w_1 \\ w_2 \end{bmatrix}$$

$$\underline{y} = \underline{H} \underline{x} + \underline{w}$$

Suppose we invert the effect of the channel to decouple  $x_1$  and  $x_2$ , we get.

$$\underline{\tilde{y}} = \underline{x} + H^{-1} \underline{w} = \underline{x} + \underline{\tilde{w}}$$

$$H^{-1} = \frac{1}{|h_{11}h_{22} - h_{21}h_{12}|} \begin{bmatrix} h_{22} & -h_{12} \\ -h_{21} & h_{11} \end{bmatrix}$$

$$\tilde{w}_1 = \frac{h_{22} w_1 - h_{12} w_2}{|h_{11}h_{22} - h_{21}h_{12}|} \sim \text{CN}\left(0, \frac{|h_{22}|^2 + |h_{12}|^2}{|h_{11}h_{22} - h_{12}h_{21}|^2} N_0\right)$$

$$\tilde{w}_1 \text{ can be written as } \frac{\sqrt{|h_{22}|^2 + |h_{12}|^2}}{|h_{11}h_{22} - h_{12}h_{21}|} z_1 \text{ where } z_1 \sim \text{CN}(0, N_0)$$

$$y'_1 = \frac{h_{11}h_{22} - h_{21}h_{12}}{\sqrt{|h_{22}|^2 + |h_{12}|^2}} \tilde{y}_1$$

$$= \frac{h_{11}h_{22} - h_{21}h_{12}}{\sqrt{|h_{22}|^2 + |h_{12}|^2}} x_1 + z_1$$

$$= \frac{\begin{bmatrix} h_{22} & -h_{12} \end{bmatrix} \begin{bmatrix} h_{11} \\ h_{21} \end{bmatrix}}{\sqrt{|h_{22}|^2 + |h_{12}|^2}} x_1 + z_1$$

$$= (\phi_2^* \underline{h}_1) x_1 + z_1$$

$$\text{where } \phi_2 = \frac{1}{\sqrt{|h_{22}|^2 + |h_{12}|^2}} \begin{bmatrix} h_{22}^* \\ -h_{12}^* \end{bmatrix}$$

$$\underline{h}_1 = \begin{bmatrix} h_{11} \\ h_{21} \end{bmatrix}$$

$$\underline{h}_2 = \begin{bmatrix} h_{12} \\ h_{22} \end{bmatrix}$$

$$(\phi_2^* \underline{h}_2 = 0)$$

$$\phi_2^* = \frac{[h_{22} \quad -h_{12}]}{\sqrt{|h_{22}|^2 + |h_{12}|^2}}$$

Similarly,  $y_2' = (\underline{\phi}_1^* \underline{h}_2) x_2 + z_2$

$$H^{-1} = \begin{bmatrix} \underline{\phi}_2^* \\ \underline{\phi}_1^* \end{bmatrix}$$

where  $\underline{\phi}_1 = \frac{1}{\sqrt{|\underline{h}_{11}|^2 + |\underline{h}_{21}|^2}} \begin{bmatrix} \underline{h}_{21}^* \\ -\underline{h}_{11}^* \end{bmatrix}$

$$(\underline{\phi}_1^* \underline{h}_1 = 0)$$

The above eqns. for  $y_1'$  and  $y_2'$  can be interpreted as follows.

- \*  $\underline{h}_1$  is the "direction" of the signal from tx. antenna 1.
- \*  $\underline{h}_2$  is the "direction" of the signal from tx. antenna 2.
- \*  $\underline{\phi}_2$  is the "direction" orthogonal to  $\underline{h}_2$ .
- \*  $y_1'$  is obtained by projecting  $y$  onto  $\underline{\phi}_2$ .

So, we get  $y_1' = (\underline{\phi}_2^* \underline{h}_1) x_1 + \cancel{z_1}$  (Since  $\underline{\phi}_2^* \underline{h}_2 = 0$ )

- \* Similarly,  $\underline{\phi}_1$  is the "direction" orthogonal to  $\underline{h}_1$ ,

$$\& y_2' = (\underline{\phi}_1^* \underline{h}_2) x_2 + z_2. \quad (\text{Since } \underline{\phi}_1^* \underline{h}_1 = 0)$$

↓  
projection of  $\underline{h}_2$  onto a unit vector  $\underline{\phi}_1$ , whose components are independent of the components of  $\underline{h}_2$ .

$\Rightarrow \underline{\phi}_1^* \underline{h}_2$  is like a scalar Rayleigh faded (1x1) channel  $\Rightarrow$  Diversity 1.

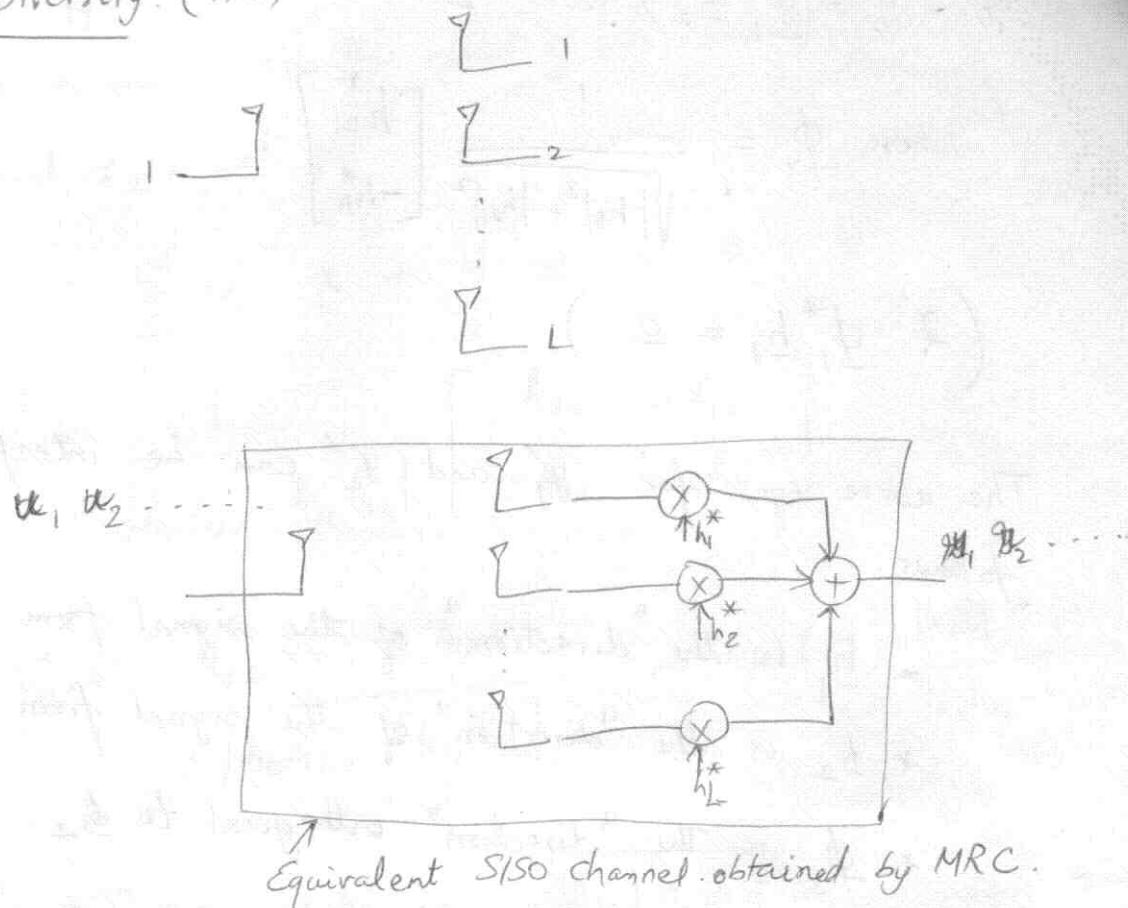
Therefore, the 2 rx. antennas can be used to null the interference. In this case,

Degrees of freedom <sup>utilized</sup> per symbol time = ~~1~~ 2

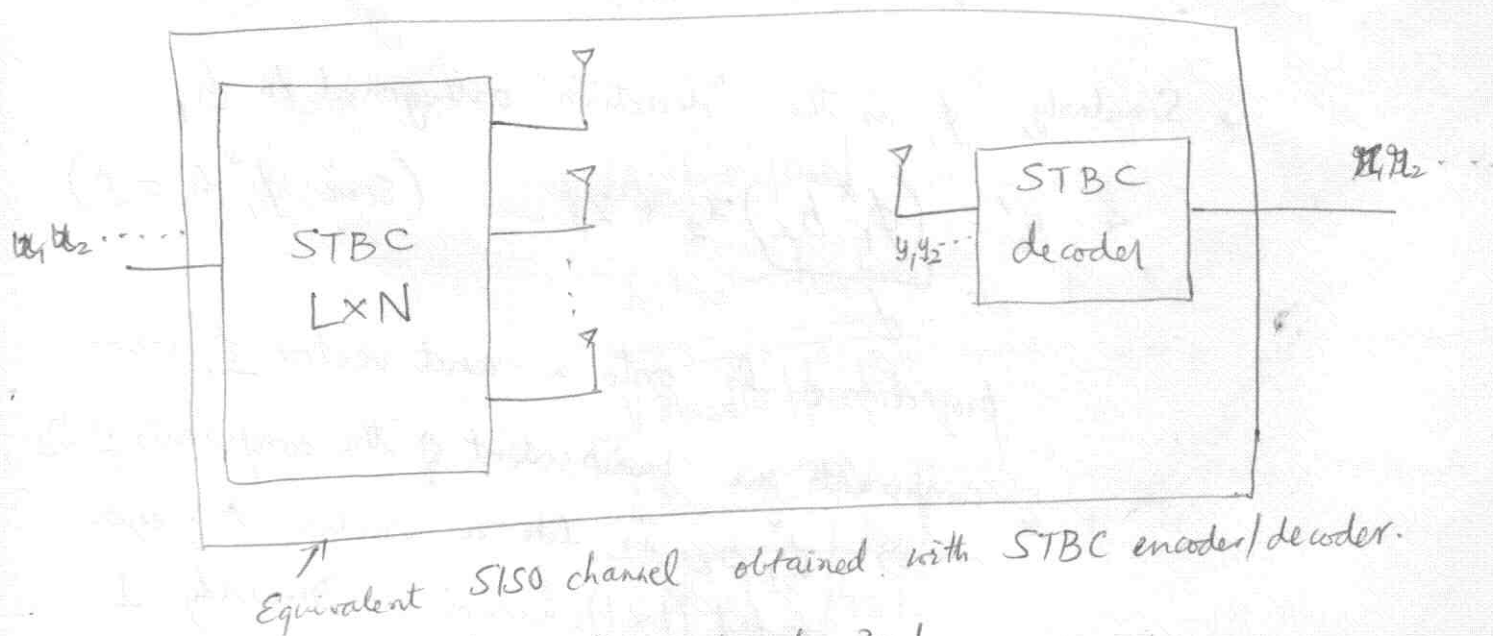
Diversity gain = 1.

(For the ML detector, Div. gain = 2)

Recap Receive Diversity:  $(1 \times L)$



Transmit diversity  $L \times 1$



Eg: Alamouti encoder for  $2 \times 1$

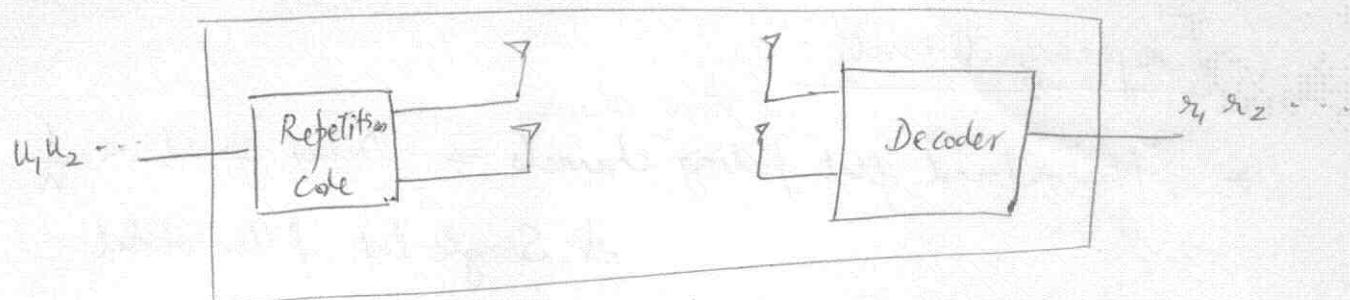
$$\begin{bmatrix} u_1 & -u_2^* \\ u_2 & +u_1^* \end{bmatrix}$$

Decoder

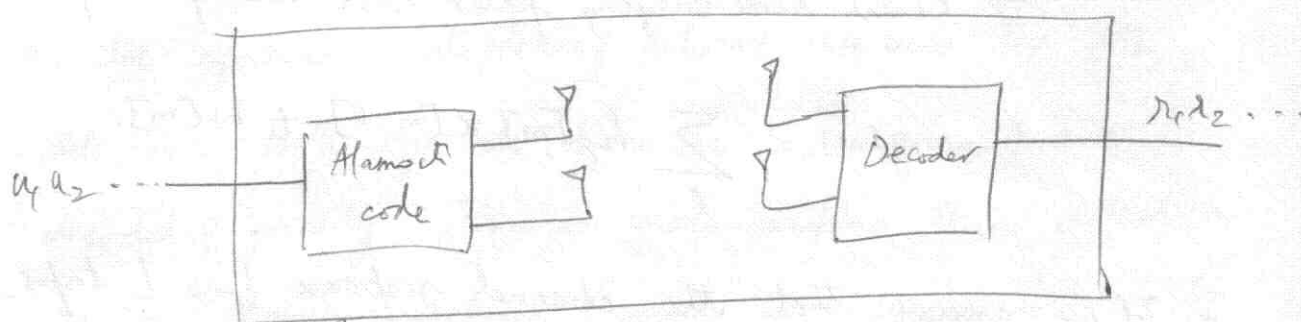
$$y_1 = h_1^* y_1 + h_2 y_2^*$$

$$y_2 = h_2^* y_1 - h_1 y_2^*$$

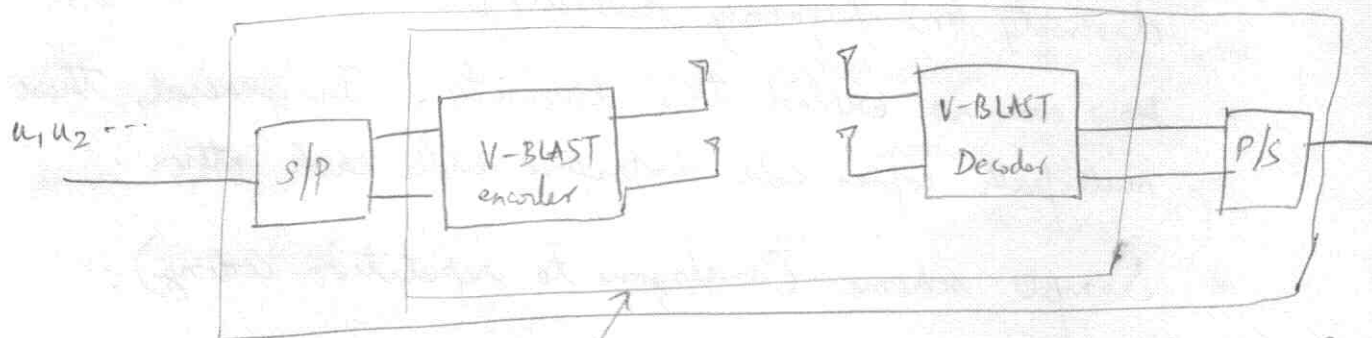


2x2 MIMO

↑  
Equivalent SISO channel  
 $\frac{1}{2}$  symbol per symbol time  
Diversity of 4



↑  
Equivalent SISO channel  
1 symbol per symbol time  
Diversity of 4



↑  
Equivalent SISO channel  
(all symbols go thru channel with div. gain 2)  
→ 2 symbols in parallel, both achieve div. gain 2. (ML decoder)

The equivalent SISO channel view will be important to understand whether these tx. & rx. schemes are capacity lossless or not.

## Frequency diversity:

- \* Narrowband flat fading channels  $\Rightarrow$  Delay spread  $< \frac{1}{W}$   
 $\Rightarrow$  Single-tap filter model.
- \* Wideband channels ( $W >$  coh. bandwidth,  $\frac{1}{W} <$  delay spread)  
 $\Rightarrow$  Frequency-selective channel  
 $\Rightarrow$  Linear time-varying filter with multiple taps.

$$y[m] = \sum_l h_l[m] x[m-l] + w[m].$$

- \* If we assume that the channel response has  $L$  taps, then the delayed replicas of the signal provide  $L$  independent copies of the signal. (Multipath diversity (or) frequency diversity).

- \* How can we exploit this diversity? In general, these multipath copies can interfere with each other.

- \* Simple scheme (analogous to repetition coding):

- Send only one symbol during every delay spread, i.e., every  $L$  symbol times.

- $\Rightarrow$  Delayed replicas do not interfere with the original symbols.

- Assuming  $h_l[m]$  are independent & i. d. for diff.  $m$ , we get  $L^{\text{th}}$  order diversity.