

# Running Example.

$$h(m) = \begin{cases} 3/2, & m = 0 \\ -1/2, & m = 1 \\ -1/2, & m = -1 \\ 0, & \text{otherwise} \end{cases}$$

$$L = 1$$

BPSK Modulation  $b(n) \in \{+1, -1\}$

$$M = 2.$$

No. of states  $M^L = 2$

$$S(n) = [b(n-1)]$$

Branch metric

Branch metric

$$\lambda_n(b(n), s(n)) = \lambda_n(S_n \rightarrow S_{n+1}) = \operatorname{Re} \{ b^*(n) z(n) \} - \frac{h(0)}{2} |b(n)|^2 - \operatorname{Re} \{ h(1) b^*(n) b(n-1) \}$$

$z(n) \rightarrow$  matched filter output

Since BPSK, only  $\operatorname{Re} \{ z(n) \} \equiv y(n)$  is relevant

Also  $b(n)$  are real

$$|b(n)| = 1 \text{ for all } n$$

$$\frac{h(0)}{2} |b(n)| = \text{constant for all } n$$

$\Downarrow$   
can be ignored in  $\operatorname{argmax}$

Modified (Equivalent) branch metric

$$m_n(b(n), S_n) = m_n(S_n \rightarrow S_{n+1}) = b(n) \left[ y(n) + \frac{1}{2} b(n-1) \right]$$

$$m_n(b(n), S_n) = m_n(S_n \rightarrow S_{n+1}) = b(n) \left[ y(n) + \frac{1}{2} b(n-1) \right]$$

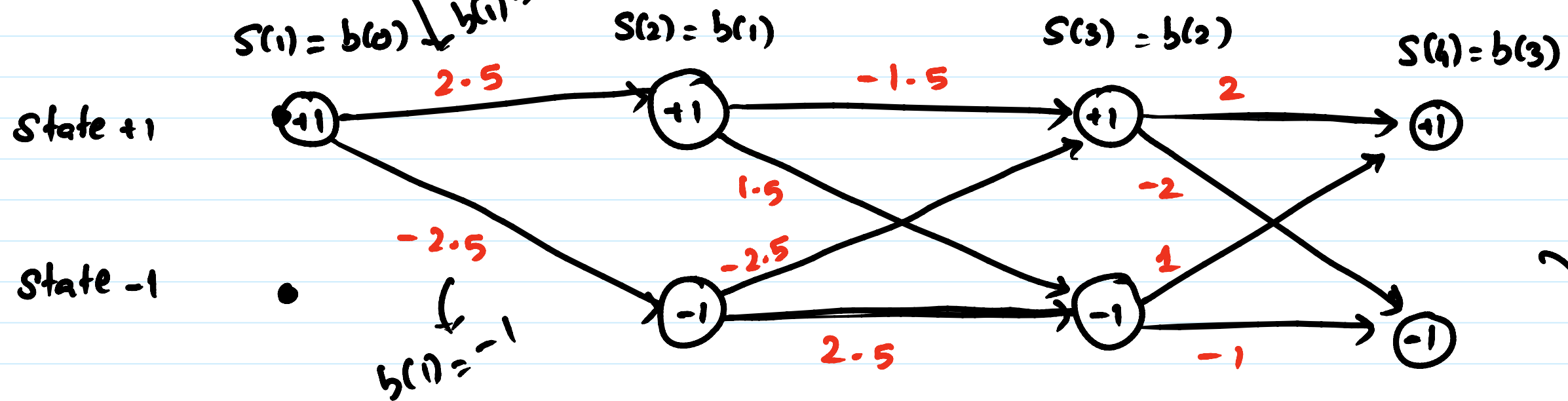
Suppose we start with initialization  $b(0) = +1$

Also let  $y(0) = -1$        $y(2) = -2$

$y(1) = 2$        $y(3) = 1.5$

$$b(n) \left[ y(n) + \frac{b(n-1)}{2} \right]$$

$$b(1) = b(0) = +1$$



- Each sequence  $\{ b(0), b(1), b(2), \dots \}$  is a path in the trellis

Trellis Diagram

- Each state transition has a metric (cost)

$$\lambda_n (S_n \rightarrow S_{n+1})$$

- MLSE  $\rightarrow$  Find <sup>the</sup> path along the trellis with the highest metric

Note : M branches come out of each state

M branches merge at each state

Consider (partial) sequences merging at a state

Example  $S(3) = +1$

Two (partial) sequences  $\{ b(0) \ b(1) \ b(2) \}$   
 $\{ +1, +1, +1 \}$  merge at  $S(3) = +1$

$\{+1, -1, +1\}$

Accumulated metric  
upto time 2

$$+1, +1, +1 \quad +2.5 - 1.5 = 1$$

$$+1, -1, +1 \quad -2.5 - 2.5 = -5$$

Partial Sequences merging at a state

can be compared directly

↳ Partial seq. with smaller metric

can not be MLSE

& can be removed from  
further consideration

**SURVIVOR:** Partial sequence with highest metric at each state

+1, +1, +1 is survivor at state  $s(3) = +1$

Number of survivors at each time  
= number of states =  $M^L$

Need to "extend" only the survivors further along the trellis

Just like <sup>fixing</sup> initialization ( $b(0) = +1$ )

we can also fix final ( $b(N) = +1$ )

Rest  $b(1), b(2), \dots, b(N-1)$  depend on data

Fixing  $b(N) = +1$ , fixes the "ending" state

SURVIVOR at the END state is ML Solution (MLSE)

Complexity =  $N \cdot M^L$

↳ linear in block length

↳ linear in number of states (exponential in channel memory length  $L$ )

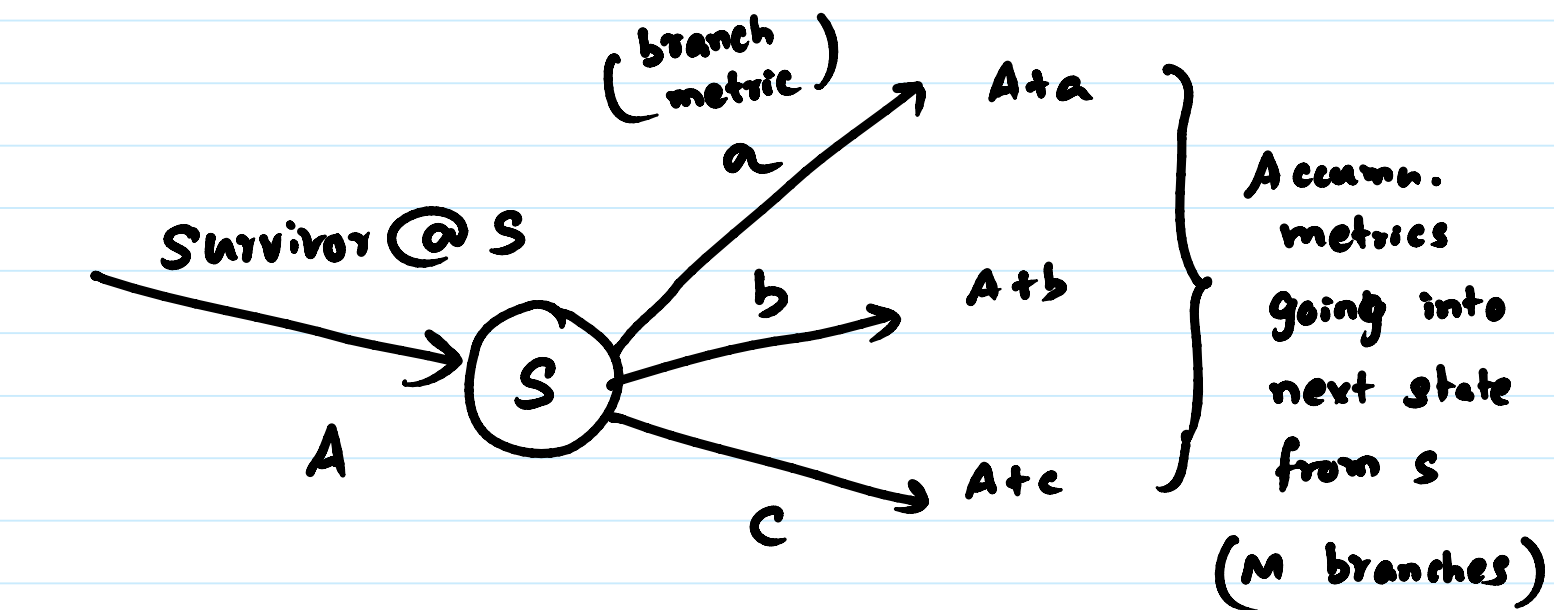
Usually  $L \ll N$

Complexity is much less than brute force computation ( $M^N$ )

Viterbi Algorithm (two steps)

① ADD step

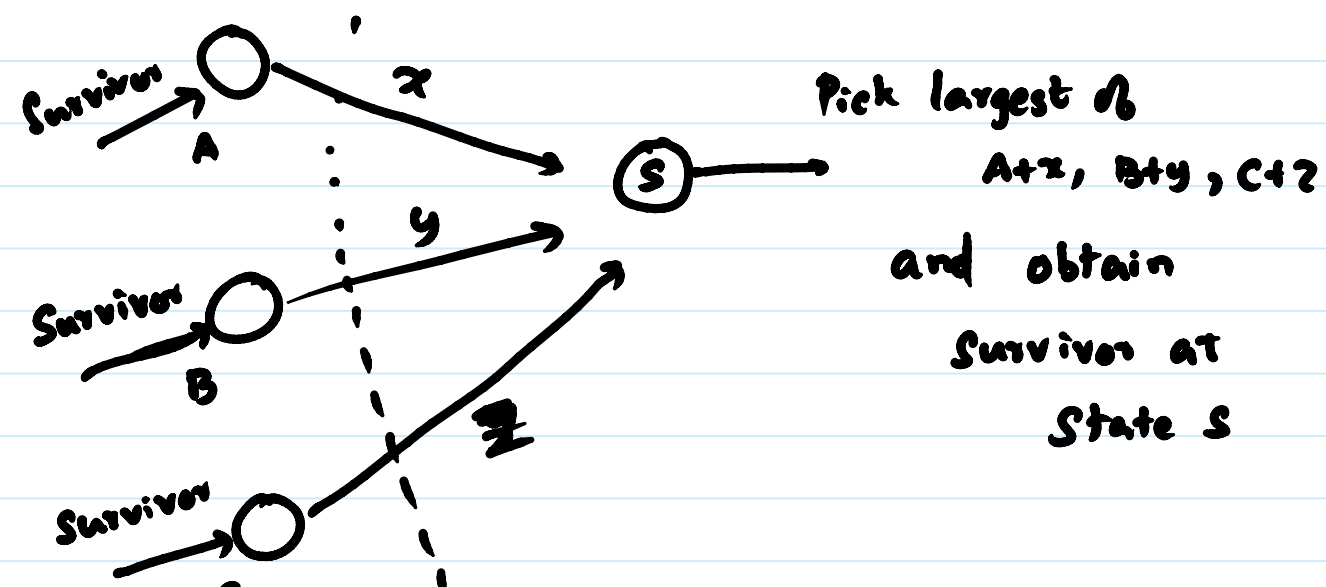
(at each time, each state)



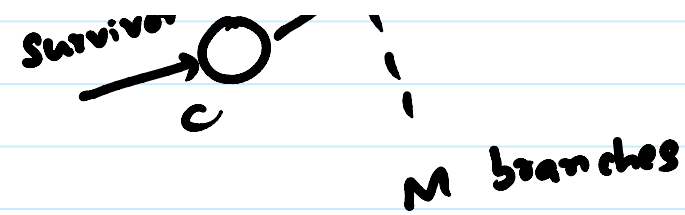
Accum. metric

$$\delta_{\text{Survivor}} = A$$

② COMPARE step







## Summary

- Sending a stream of symbols over ISI channels
- Symbols need to be jointly demodulated for optimal ML solution
- Obtained optimal MLSE solution using Trellis diagrams and Viterbi algorithm

Sequence of symbols

{ $b_m$ }

independent symbols



(ISI)



AWGN

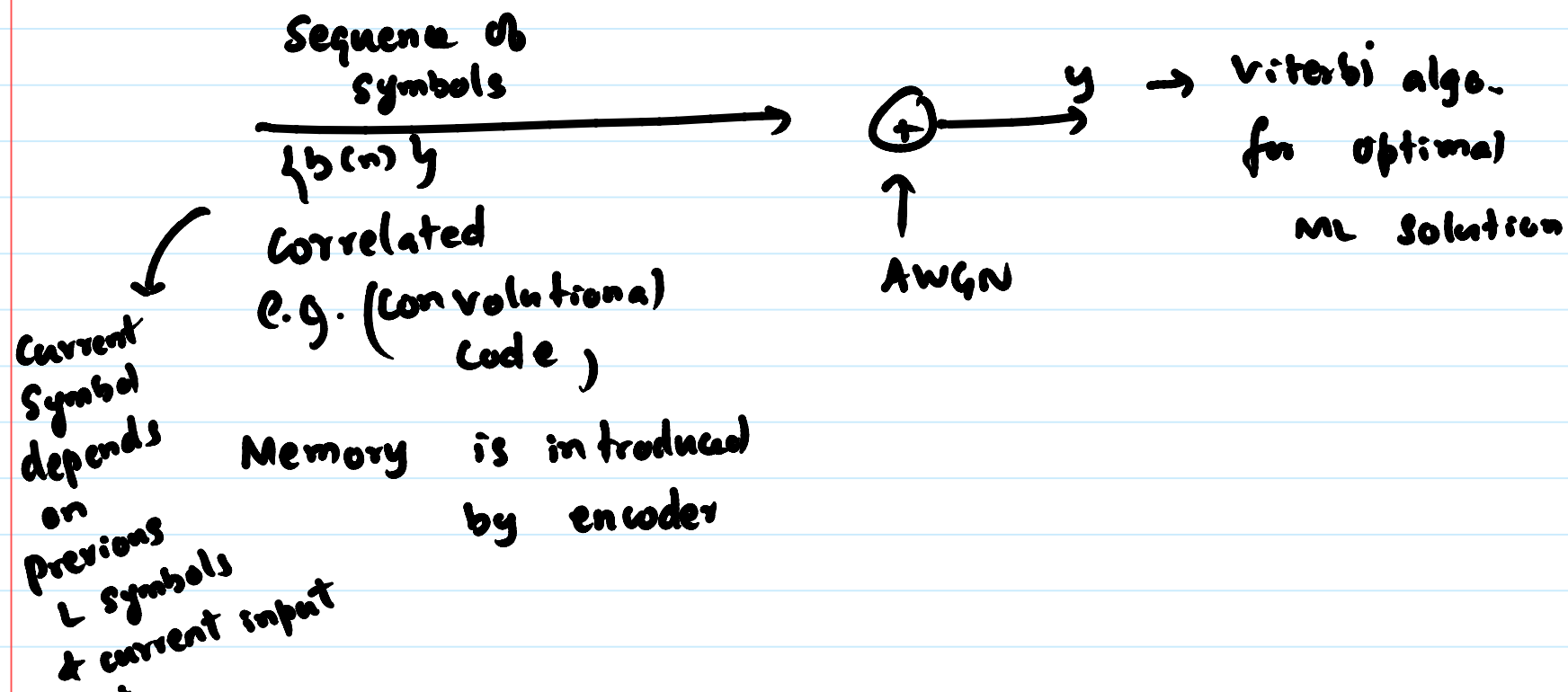
y

⇒ MLSE using

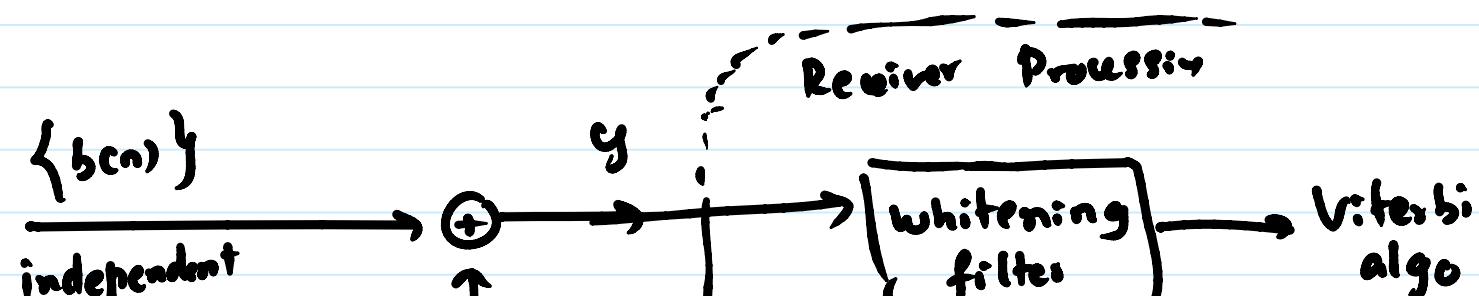
Viterbi algorithm.

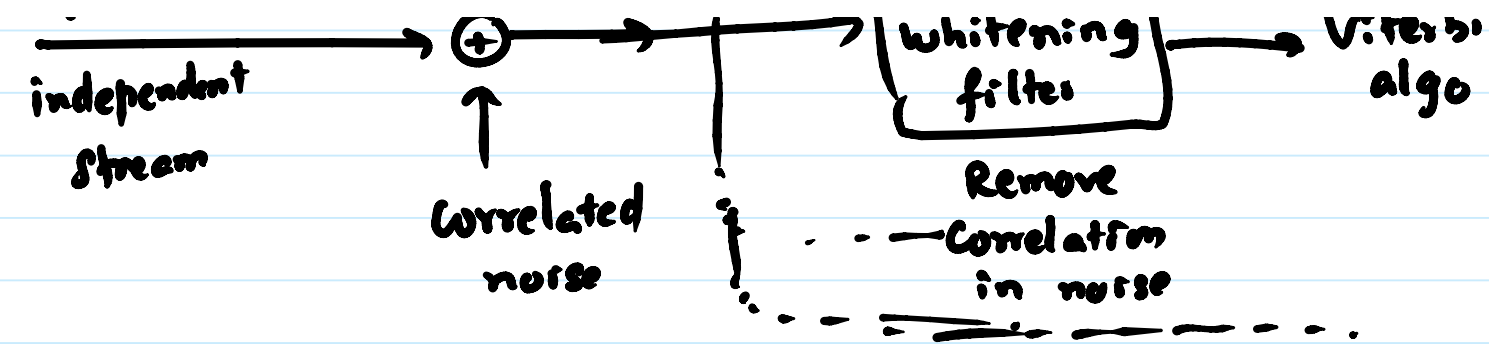
Related problems where Viterbi algorithm  
can be used for ML solution

① Correlated Symbols over AWGN



② Independent stream over colored Gaussian noise





③ Correlated Symbols over ISI channels  
with colored noise

" ————— "

Low complexity (sub optimal) linear equalization

→ other popular alternative to MLSE

(Read books!)