## Solutions to Problem Set 7

## EE419: Digital Communication Systems

Check the solutions for possible bugs!

1. The received signal z[k] = cs[k] + n[k], where  $n[k] \sim N(0, N_0)$  is white Gaussian and  $s[k] \in \{-1, 1\}$  is *iid* uniform independent of n[k]. The *i*-th entry of  $\underline{\alpha}$  is given by  $\alpha_i = \mathbb{E}[s[k]z^*[k+i]]$  (the index *i* runs from *P* to -P).

$$\alpha_i = \mathbf{E}[c^* s[k] s^*[k+i] + s[k] n^*[k+i]] = \begin{cases} c^* & i = 0\\ 0 & i \neq 0 \end{cases}$$

The (i, l)-th entry of  $\phi$  is given by  $\phi_{il} = \mathbb{E}[z^*[k+i]z[k+l]]$  (the indices i and l run from P to -P).

$$\phi_{il} = \mathbf{E}[(c^*s^*[k+i] + n^*[k+i])(cs[k+l] + n[k+l])] = \begin{cases} |c|^2 + N_0 & i = l\\ 0 & i \neq l \end{cases}$$

Hence,

$$\underline{c}_{\rm opt} = \begin{bmatrix} 0 \\ \vdots \\ c^* / (|c|^2 + N_0) \\ \vdots \\ 0 \end{bmatrix}$$

and MSE=1  $- \frac{|c|^2}{|c|^2 + N_0} = \frac{N_0}{|c|^2 + N_0}$  for all P.

2. The received signal z[k] = s[k] + cs[k-1] + n[k], where  $n[k] \sim N(0, N_0)$  is white Gaussian and  $s[k] \in \{-1, 1\}$  is *iid* uniform independent of n[k]. The *i*-th entry of  $\underline{\alpha}$  is given by  $\alpha_i = \mathbb{E}[s[k]z^*[k+i]]$  (the index *i* runs from *P* to -P).

$$\alpha_i = \mathbf{E}[s[k]s^*[k+i] + c^*s[k]s^*[k+i-1] + s[k]n^*[k+i]] = \begin{cases} 1 & i = 0\\ c^* & i = 1\\ 0 & \text{else} \end{cases}$$

The (i, l)-th entry of  $\phi$  is given by  $\phi_{il} = \mathbb{E}[z^*[k+i]z[k+l]]$  (the indices i and l run from P to -P).

$$\phi_{il} = \mathbf{E}[(s^*[k+i]+c^*s^*[k+i-1]+n^*[k+i])(s[k+l]+cs[k+l-1]+n[k+l])] = \begin{cases} 1+|c|^2+N_0 & i=l\\ c^* & i=l+1\\ c & i=l-1\\ 0 & \text{else} \end{cases}$$

For 
$$P = 0$$
,  $\underline{c}_{\text{opt}} = 1/(1 + |c|^2 + N_0)$  and  $\text{MSE}=1 - \frac{1}{1 + |c|^2 + N_0} = \frac{|c|^2 + N_0}{1 + |c|^2 + N_0}$ . For  $P = 1$ ,  
$$\underline{c}_{\text{opt}} = \begin{bmatrix} 1 + |c|^2 + N_0 & c^* & 0\\ c & 1 + |c|^2 + N_0 & c^*\\ 0 & c & 1 + |c|^2 + N_0 \end{bmatrix}^{-1} \begin{bmatrix} c^*\\ 1\\ 0 \end{bmatrix}$$

with a similar formula for MSE. The case P = 2 is omitted.

3. The received signal  $z[k] = \sum_{m=0}^{\infty} (-c)^m s[k-m] + n[k]$ , where  $n[k] \sim N(0, N_0)$  is white Gaussian and  $s[k] \in \{-1, 1\}$  is *iid* uniform independent of n[k]. The *i*-th entry of  $\underline{\alpha}$  is given by  $\alpha_i = \mathbb{E}[s[k]z^*[k+i]]$  (the index *i* runs from *P* to -P).

$$\alpha_i = \mathbf{E}\left[\sum_{m=0}^{\infty} (-c^*)^m s[k] s^*[k+i-m] + s[k] n^*[k+i]\right] = \begin{cases} (-c^*)^i & i \ge 0\\ 0 & \text{else} \end{cases}$$

The (i, l)-th entry of  $\phi$  is given by  $\phi_{il} = \mathbb{E}[z^*[k+i]z[k+l]]$  (the indices i and l run from P to -P).

$$\begin{split} \phi_{il} &= \mathbf{E}\left[\left(\sum_{m_1=0}^{\infty}(-c^*)^{m_1}s^*[k+i-m_1]+n^*[k+i]\right)\left(\sum_{m_2=0}^{\infty}(-c)^{m_2}s[k+l-m_2]+n[k+l]\right)\right] \\ &= \begin{cases} \frac{1}{1-|c|^2}+N_0 & i=l\\ \frac{(-c^*)^d}{1-|c|^2} & i=l+d, d=1,2,\cdots\\ \frac{(-c)^d}{1-|c|^2} & i=l-d, d=1,2,\cdots \end{cases} \end{split}$$

For P = 0,  $\underline{c}_{opt} = (1 - |c|^2)/(1 + N_0 - N_0|c|^2)$  and MSE= $1 - \frac{1 - |c|^2}{1 + N_0 - N_0|c|^2}$ . For P = 1 and P = 2, substitute the above values into the fomulae.

4. Suppose  $H(z) = \sum_{l=-M}^{M} h_l z^{-l}$  resulting in  $z[k+i] = \sum_{l=-M}^{M} h[l]s[k+i-l] + n[k+i]$ . Let  $b[k+i] = \sum_{l=-M}^{M} h[l]s[k+i-l]$  be the symbol component in z[k+i]. We see that

$$\begin{bmatrix} b[k+P] \\ \vdots \\ b[k] \\ \vdots \\ b[k-P] \end{bmatrix} = \begin{bmatrix} h[-M] & \cdots & h[0] & \cdots & h[M] & 0 & \cdots & 0 \\ 0 & h[-M] & \cdots & h[0] & \cdots & h[M] & \cdots & 0 \\ \vdots & \vdots \\ 0 & \cdots & 0 & h[-M] & \cdots & h[0] & \cdots & h[M] & 0 \\ 0 & \cdots & \cdots & 0 & h[-M] & \cdots & h[0] & \cdots & h[M] \end{bmatrix} \begin{bmatrix} s[k+P+M] \\ \vdots \\ s[k+P] \\ \vdots \\ s[k] \\ \vdots \\ s[k-P] \\ \vdots \\ s[k-P] \\ \vdots \\ s[k-P-M] \end{bmatrix}$$

Denote the  $2P + 1 \times 2P + 2M + 1$  matrix above as H. The symbol component after filtering  $\{z[k]\}$  by  $C(z) = \sum_{l=-P}^{P} c_l z^{-l}$  is given by

$$x[k] = \begin{bmatrix} c[-P] & \cdots & c[o] & \cdots & c[P] \end{bmatrix} H \begin{bmatrix} s[k+P+M] \\ \vdots \\ s[k+P] \\ \vdots \\ s[k] \\ \vdots \\ s[k-P] \\ \vdots \\ s[k-P] \\ \vdots \\ s[k-P-M] \end{bmatrix}.$$
(1)

In a ZF-LE, we choose  $\underline{c} = [c[-P] \cdots c[0] \cdots c[P]]$  so that

$$x[k] = s[k] + \sum_{d < |l| \le P+M} \alpha_l s[k+l] + \text{noise}$$

for a suitable d. Notice that the interference from s[k+l],  $1 \le |l| \le d$  is expected to be nulled by the equalizer. Let  $\underline{h}_l$  denote the *l*-th column of H, whose columns are indexed from -P - M to P + M. The vector  $\underline{c}$  needs to be chosen such that

$$[c[-P]\cdots c[0]\cdots c[P]][\underline{h}_{-d}\cdots \underline{h}_{0}\cdots \underline{h}_{d}] = [0\cdots 1\cdots 0]$$

Existence of the ZF-LE for a particular d depends on the solvability of the above set of linear equations. Assuming the matrix above has full rank, a ZF-LE can be obtained for  $1 \le d \le P$ .

5. In a DFE, let the precursor be  $C(z) = \sum_{i=-P}^{0} c[i]z^{-i}$  and the postcursor  $D(z) = \sum_{i=1}^{N} d[i]z^{-i}$ . Assuming correct slicer decisions, the input to the slicer y[k] can be written as

$$y[k] = s[k] * (h[k] * c[k] - d[k]) + n[k] * c[k],$$

where \* denotes convolution. Typically, D(z) is chosen to cancel a part of H(z)C(z). Since

$$p[i] = h[k] * c[k]|_i = \sum_{k=-P}^{0} c[k]h[i-k],$$

we let d[i] = p[i] for  $1 \le i \le N$ . Assuming this choice for D(z), the postcursor has been derived in terms of the precursor C(z) and the channel H(z). The precursor can now be determined to satisfy any criterion. In a ZF-DFE, we use (1) with c[i] = 0 for  $1 \le i \le P$  to solve for a suitable C(z). The ISI terms to be cancelled with the precursor have to be chosen carefully. The postcursor will then be calculated to cancel M terms in the resultant causal ISI.

- 6. Use a modified version of the solution to Problem 5. Choose the postcursor in terms of the precursor and use the MMSE-LE formulation to find  $C(z) = \sum_{i=-P}^{0} c[i] z^{-i}$ .
- 7. For finding the precursor, use the solution to Problem 3 with the indices for the matrices  $\underline{\alpha}$  and  $\phi$  running only from P down to 0. Use Problem 5 for finding the postcursor in terms of the precursor.