Half-Duplex Gaussian Relay Networks with Interference Processing Relays

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## Wireless Relay Networks



- Single shared resource wireless channel
- Wireless systems: Time-variations, Interference
- Relaying important in spectrally efficient wireless networks

# Relaying: What is known/unknown?

Single source-destination pair Gaussian relay networks

- Capacity unknown for arbitrary topology
- Cut-set upper bound
- Achievable rates for specific protocols and topologies
- Appproximate capacity



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# Wireless Relaying: Assumptions and Results

Duplex	SNR	Cooperation	Topology
Full	Large	MIMO	Arbitrary, Directed
Half	All	Limited	Restricted
		No MIMO	Arbitrary

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# Wireless Relaying: Assumptions and Results

Duplex	SNR	Cooperation	Topology
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- [Avestimehr et al] Both, Large SNR, MIMO, Arbitrary directed
  - Constant gap to capacity
- [Sreeram et al] Both, Large SNR, MIMO, Arbitrary
  - Diversity-multiplexing trade-off
- [Chang et al] Half duplex, All SNR, Limited, Restricted
  - Rates close to capacity
- [Bagheri et al] Half duplex, All SNR, No MIMO, Restricted
  - Constant gap to capacity

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# Our Assumptions

- Half-duplex
- All SNR
- No MIMO/Limited cooperation
- Restricted, arbitrary
- Decode-and-Forward



Two-stage relay network

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# Our Work

- Multistage relaying
- Heuristic scheduling of states + Coding for interference networks
- Flow optimization
- Interference processing vs. Interference avoidance
- Strong and weak interference conditions

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# States of a Half-Duplex Network





- Each node: Transmit, Receive, or Idle
- Each state is an interference network

## Choice of States: Observations

#### Interference Avoidance

Only one node can transmit at any time

#### Interference Processing

- Source should be in transmit mode
- Destination should be in receive mode
- Relays should be in both transmit and receive modes
  - Required for information flow

# Atleast two node-disjoint paths required for source to be transmitting in all chosen states

#### Two-Path Two-State Schedule



Shortest (three-hop) paths connecting S and D

- Path P1: S  $\rightarrow$  2  $\rightarrow$  4  $\rightarrow$  D
- Path P2:  $S \rightarrow 3 \rightarrow 5 \rightarrow D$
- $\blacktriangleright \text{ Path P3: } S \rightarrow 2 \rightarrow 5 \rightarrow D$
- Path P4:  $S \rightarrow 3 \rightarrow 4 \rightarrow D$ .
- Only two pairs of node-disjoint paths: (P1, P2) and (P3, P4).
- States from (P1, P2):
  - State S1: Nodes S, 3, 4 transmit, Nodes 2, 5, D receive
  - State S2: Nodes S, 2, 5 transmit, Nodes 3, 4, D receive

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# Coding for each state

- $M \times N$  interference network [Carleial1978]
- Possible message from each transmitter to each subset of receivers
  - ► M(2<sup>N</sup> − 1) possible rates
- *M*-user Interference channel
  - M possible messages (M rates)
- Achievable rate regions based on
  - Superposition
  - Successive interference cancellation
  - Dirty paper coding

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# Common Broadcast (CB)



- Rate limited by weakest link
- Receivers employ SIC/MAC decoding

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# Superposition Coding (SC)



- Transmitters send superposed codewords
- Constraints involve power allocation parameters (non-linear)
- Larger rate region than CB

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# Dirty paper coding (DPC) at the source



- Source: origin for all messages; knows m3 and m4
- Source does DPC to eliminate interference at receiver 2
- Can be combined with CB or SC at other transmitters

## Coding for the Two-Stage Relay Example



DPC-SC

- State S1: Nodes S (1), 3, 4 transmit, Nodes 2, 5, D (6) receive
- Node S: Transmit to Node 2 using DPC
- Node 3: Transmit to Node 5
- Node 4: Transmit to Nodes 5 and D using SC

# Flow Optimization

• Joint optimization problem

maximize Rate subject to

- Scheduling constraints
  - State k is ON for  $\lambda_k$  units of time
  - Total transmission time is one unit
- Rate region constraints
  - appropriate rate region depending on the coding scheme
- Flow constraints
  - ► Total flow in a link  $(i,j) = \sum_{i=1}^{k}$  flow in link (i,j) in state k

Outgoing flow from Node i - Incoming flow to Node i = Rate, if i = S,

-Rate. if i = D.

#### Two-Stage Relay Flow optimization: DPC-SC



#### Two-Stage Relay Flow optimization

$$\max_{0\leq\lambda_1,\lambda_2,\alpha,\beta\leq 1}R=z_1+z_2,$$

subject to rate constraints

• Flow in each link less than average rate

$$\begin{aligned} z_1 &\leq \lambda_1 R_{52}, \quad z_1 \leq \lambda_2 R_{24}, \quad z_2 \leq \lambda_2 R_{53}, \quad z_2 \leq \lambda_1 R_{35}, \\ (1 - \alpha) z_1 + \beta z_2 \leq \lambda_1 R_{4D}, \quad (1 - \beta) z_2 + \alpha z_2 \leq \lambda_2 R_{5D}, \\ \alpha z_1 &\leq \lambda_1 R_{45}, \quad \beta z_2 \leq \lambda_2 R_{54}, \end{aligned}$$

- Scheduling constraint:  $0 \le \lambda_1 + \lambda_2 \le 1$
- Rates chosen according to rate region of interference network

$$(R_{S2}, R_{35}, R_{45}, R_{4D}) \in \mathcal{R}_1, (R_{S3}, R_{24}, R_{54}, R_{5D}) \in \mathcal{R}_2.$$

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# Cut-Set Upper Bound



• Full Duplex Network<sup>1</sup>

$$R \leq \min_{\Omega} I(X^{\Omega}; Y^{\Omega^{c}}|X^{\Omega^{c}}).$$

• Half Duplex Network <sup>2</sup>

$$R \leq \sup_{\lambda_k} \min_{\Omega} \sum_{k=1}^{\mathscr{M}} \lambda_k I(X_{(k)}^{\Omega}; Y_{(k)}^{\Omega^c} | X_{(k)}^{\Omega^c}).$$

<sup>1</sup>T. Cover *et al*, Elements of information theory, John Wiley

 $^2$ M. Khojestepour, *et al*, Bounds on achievable rates for general multiterminal n/ws with practical constraints, IPSN, 2003  $\odot$   $\odot$ 

# Numerical Results

Parameters:

- Tx power, P = 3 units
- Noise variance,  $\sigma^2 = 1$
- Variable channel gains



Comparison:

- Interference avoidance throughput Lower bound
- Upper bound
- Achievable rates of the proposed relaying schemes

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• Cut-Set bound =  $C(2\alpha^2 P) = 1.40$ 





• Upper bounds

- Cut-Set bound =  $C(2\alpha^2 P) = 1.40$
- Source rate  $\leq C(\alpha^2 P) = 1$



- Large  $\beta$ 
  - Strong interference
  - $\begin{array}{c} \bullet \quad S \to R_1 \to R_3 \to D, \\ S \to R_2 \to R_4 \to D \end{array}$

• Upper bounds



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• Upper bounds



SC and CB are same

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• Upper bounds



• HD relaying meets the bound for some channel gains

# Strong Interference



• 
$$|h_{46}| \ge \alpha$$
,  $|h_{56}| \ge \alpha$   
• 2-user MAC:  $2C(\alpha^2 P) \le C(2h_{min}^2 P)$  where  $h_{min} = \min(|h_{45}|, |h_{35}|)$ 

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## Weak Interference



• 
$$|h_{46}| \ge \alpha$$
,  $|h_{56}| \ge \alpha$   
• 2-user MAC:  $C(\alpha^2 P) \le C\left(\frac{h_{35}^2 P}{1+h_{45}^2 P}\right)$ 

#### Strong and Weak Interference Bounds



## Grid network



• Three states



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Performance in Grid Network,  $\beta = 1, \gamma = 1$ , vary  $\alpha$ 



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# Summary

- States of a relay network as interference networks
- Scheduling of states using path heuristic
- Interference processing receivers at the relays
  - No cooperative decoding between relays
- Strong and weak interference conditions on channel gains

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