Cross-layer Scheduling and Resource Allocation in Wireless Communication Systems

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# Cellular Systems



- Time-varying channel
- Resource sharing Interference constraints

# Downlink Resource Allocation Problem



- Physical resources: power and bandwidth
- Total transmit power constraint
- Maximize system throughput
- Fairness or Quality of Service (QoS) constraints

# Dynamic Resource Allocation



- Resources: Time, Bandwidth, Power
- Adaptation to channel and traffic conditions
- Dynamic resource allocation
  - Reallocation period of the order of a millisecond

### Adapting to the Channel

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# Adapting to the Channel: Maximizing Capacity



- Infinite backlog assumption
- All power and bandwidth resources to one user
- User with best achievable rate chosen:

$$i = \arg \max_k R_k,$$

where  $R_k$  is the rate that can be supported by user k.

# Maximizing Capacity: Parallel Channels



Parallel Channels to each user

- Bandwidth resources split to achieve parallel channels
- For each channel *n*, user with best channel conditions chosen:

$$i_n = \arg \max_k R_{k,n}.$$

Water-filling power allocation

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### Fairness

#### **Proportional Fairness**

• 
$$i = \arg \max_k \frac{R_k}{R_{k,av}}$$
,

where  $R_{k,av}$  is the average rate that can be supported by user k.

• max 
$$\sum_k \log(T_k)$$
,

where  $T_k$  is the average long-term throughput of user k.

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# Parallel Channels: OFDM



- Available resources:
  - Subcarriers
  - Transmit power
- Channel is frequency-selective  $\Rightarrow$  subcarriers not identical.

# Fairness: Joint Subchannel and Power Allocation

- Proportional rate subcarrier allocation [Rhee]
- Proportional rate subcarrier allocation + power optimization [Shen]
- Joint subcarrier and power allocation

## Fairness: Joint Subchannel and Power Allocation



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# Gradient Algorithm

Stolyar (2005)

- General utility functions
- Multiuser scheduling at the same time
- PF is a special case

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#### Adapting to the Channel and Traffic

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# Adapting to the Channel and Traffic



- Multi-Queue Multi-Server Model for each time slot
- Server: Subcarrier/Group of subcarriers/Spreading code

# Resource Allocation/Cross-layer Scheduling Goals

- Scheduling Goals
  - Stability and throughput optimality
    - ★ Stability: Average queue length finite



- Packet delay constraints
- Fairness

# Stability in a general wireless network

- [Tassiulas et al 1992, Georgiadis et al 2006]
  - Dynamic backpressure policy



- Interference model: Only certain links can be activated simultaneously
- Scheduling problem: Which links will you activate?
- Solution: Activate those links such that the sum of their weights is maximum.

# Dynamic back-pressure policy for our setting Max-Weight Scheduling



- Only one link per server to be activated. Which links to activate?
- Solution:
  - Make the servers as destination nodes.
  - Assign the weights for each link as in back-pressure policy.
  - Activate those links such that the sum of their weights is maximum.

$$\max \sum_{k} b_n C_{nk}$$

 $b_n$ : Backlog of user n,  $C_{nk}$ : Capacity of user n on server k

# Two Throughput Optimal Policies



- Policy 1: Max-Weight Scheduling
- Policy 2: Improving delay performance
  - Update queue information after each server is scheduled

# Joint Server and Power Allocation

- Finite number of power levels
  - Max-weight scheduling
- Joint subcarrier and power allocation
  - Joint optimization
  - Sub-optimal solutions

### Results: Max. Arrival Rate vs. Transmit Power



• Max. arrival rate for less than 0.5% packets dropped < > < > Srikrishna Bhashyam (IIT Madras) 21 June 2011

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### Results: Delay Performance



• Best and worst delay performance among users plotted

### Fairness and Utility Maximization

#### • Arrival rate vector outside stability region

- Support a fraction of the traffic
- Optimize utility based on long term throughput
- Flow control to get stabilizable rates + stabilizing policy
- Fairness based on choice of utility function
  - \* Proportional fairness

### Fairness and Utility Maximization

- Flow control + stabilizing policy
- Maximize utility subject to stability

$$\max_{\{r_k\}}\sum_k \left[Vf_k(r_k) - b_k r_k\right]$$

### Adapting with Partial Information

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# Using Delayed Information



- Time-slots are grouped into intervals
- Channel and queue information available only once in T slots

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## Channel model



- $C_{nk}$ : channel capacity of user *n* on server *k*.
- $C_{nk} \in \{0, 1, 2, 3\}.$

## Loss model



- $R_{nk}$ : number of packets user *n* transmits on server *k*.
- $C_{nk}(T-1)$ : channel information available at the start of  $I^{th}$  interval.

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# Scheduling with infrequent measurements

- Retain throughput optimality of dynamic backpressure policy
- Two policies: Policy 1 and Policy 2
- Comparison with KLS policy [Kar et al 2007]

Policy 1 & Policy 2



Define 
$$\widetilde{C}_{nk} = \max E \left[ T_{nk}(t) | C_{nk}(lT-1) \right]$$
  
=  $\max_r r \Pr\{r \le C_{nk} | C_{nk}(lT-1)\}$ 

- Policy 1 is the dynamic back pressure policy for our setting
- Assignment changes every slot
- Policy 2: Update queue information after each server is scheduled

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# **KLS** Policy



$$\frac{1}{T}\mathbb{E}\left[\sum_{t=lT}^{(l+1)T-1}C_{nk}(t)\Big|C_{nk}(lT-1)\right]$$

• Assignment changes once in T slots

# Simulation setup

- Truncated Poisson arrivals
- 128 users and 16 servers
- Markov fading channel with probability transition matrix
- Backlog and delay are used as metrics for comparison
- Simulations for both symmetric and asymmetric arrivals
  - Symmetric case shown here

### Average backlog comparison: Slow fading, T = 8



• All the policies have similar stability region.

### Average backlog comparison for low traffic



• At low traffic, proposed policies outperform KLS policy.

### Delay comparison



• Net arrival rate = 25.6, T = 4

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# Average backlog comparison vs T for Policy 2



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### Average backlog comparison for different policies



### Comparison of stability regions: Fast fading



• 2 queues, 1 server, T = 2, states are  $\{0, 1\}$ 

• Probability transition matrix:  $\begin{bmatrix} \delta & 1-\delta \\ 1-\delta & \delta \end{bmatrix}$ ,  $\delta = 0.1$ 

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### **Possible Extensions**

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# More Physical Layer Options

- Multiple antennas
- Power allocation across resources (servers)
- Interference processing vs. Interference avoidance
- Multi-cell scenario: Centralized vs. Distributed methods

## Approximate Solutions

- Lower complexity/approximate solutions to optimization problem
- Appropriate reduction search space of physical layer modes

# Summary

- Adapting to the channel
- Adapting to the channel and traffic
  - Max-weight Scheduling
- Adapting to partial information
  - Conditional expected rate
- Possible extensions
  - Approximate lower complexity solutions
  - Appropriate choice of physical layer modes

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