On the Capacity of Interference Networks

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Ultimate goal: Multi-hop multi-flow wireless networks

Fundamental limits: Capacity region



- Network: nodes, bandwidth, power
- R_k : Information flow rate from S_k to D_k
- Is reliable communication at (R_1, R_2, \cdots, R_K) feasible?



• Capacity region: Set of all achievable $(R_{A \rightarrow B}, R_{B \rightarrow A})$



Exact capacity region unknown

Example network



Three source-destination pairs BS1 \rightarrow U1, BS2 \rightarrow U2, and BS3 \rightarrow U3

< 3 ×

A classification & known results and open problems



Wireless Channels: Main Issues



Evolution of Cellular Systems: Interference viewpoint



Can we understand Interference Networks?



- K transmitters, N receivers, single-hop
- Transmission from each transmitter to each subset of receivers
- K > 1 and N > 1 is hard

Importance of interference networks

Scenario

- Full frequency reuse
- Dense deployment
- No strong association with a single basestation
- Possibility of coordination over backhaul
- Relay deployment

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- Full frequency reuse
- Dense deployment
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- Relay deployment

Observations/Questions

- Interference avoidance: inefficient use of spectrum/bandwidth
- Treating interference as noise: not good for dense deployment
- No single strategy good for all scenarios
- Need dynamic interference management strategy
- Under what channel conditions is a given strategy good?

Brief summary of work (1)

Time variations (point-to-point)

• Adaptive point-to-point MIMO [тсом 09, тис 09, тис 09]

V. S. Annapureddy, D. V. Marathe, T. R. Ramya, S. Bhashyam, "Outage Probability of Multiple-Input Single-Output (MISO) Systems with Delayed Feedback," IEEE Transactions on Communications, Feb 2009.

T. R. Ramya, S. Bhashyam, "Using delayed feedback for antenna selection in MIMO systems," IEEE Transactions on Wireless Communications, Dec. 2009.

K. V. Srinivas, R. D. Koilpillai, S. Bhashyam, K. Giridhar, "Co-ordinate Interleaved Spatial Multiplexing with Channel State Information," IEEE Transactions on Wireless Communications, Jun. 2009.

Brief summary of work (2)

Time variations (multi-flow)

• Joint subcarrier and power allocation, scheduling [COMML 05, TWC 07]

C. Mohanram, S. Bhashyam, "A Sub-optimal Joint Subcarrier and Power Allocation Algorithm for Multiuser OFDM," IEEE Communications Letters, Aug. 2005.

C. Mohanram, S. Bhashyam, "Joint Subcarrier and Power Allocation in Channel-Aware Queue-Aware Scheduling for Multiuser OFDM," IEEE Transactions on Wireless Communications, Sep. 2007.

Brief summary of work (3)

Time variations (multi-flow)

- Scheduling with delayed channel information [TWC 09]
- Scheduling with partial channel information (order statistics) [TWC15]

C. Manikandan, S. Bhashyam, R. Sundaresan, "Cross-layer scheduling with infrequent channel and queue measurements," IEEE Transactions on Wireless Communications, Dec. 2009.

H. Ahmed, K. Jagannathan, S. Bhashyam, "Queue-Aware Optimal Resource Allocation for the LTE Downlink with Best M Sub-band Feedback," To appear in the IEEE Transactions on Wireless Communications.

Brief summary of work (4)

Time variations (multi-flow)

Pricing mechanism for resource allocation to strategic agents [TASE 11]

A. K. Chorppath, S. Bhashyam, R. Sundaresan, "A convex optimization framework for almost budget balanced allocation of a divisible good," IEEE Transactions on Automation Science and Engineering, Jul. 2011.

D. Thirumulanathan, H. Vinay, S. Bhashyam, R. Sundaresan, "Almost Budget Balanced Mechanisms with Scalar Bids For Allocation of a Divisible Good," Submitted to Operations Research, Apr. 2015.

Brief summary of work (5)

Interference

• Multi-hop single-flow: layered relay networks [TCOM 12, TSP 14]

Bama Muthuramalingam, S. Bhashyam, A. Thangaraj, "A Decode and Forward Protocol for Two-stage Gaussian Relay Networks," IEEE Transactions on Communications, Jan. 2012.

P. S. Elamvazhuthi, B. K. Dey, S. Bhashyam, An MMSE strategy at relays with partial CSI for a multi-layer relay network, IEEE Transactions on Signal Processing, Jan. 15, 2014.

Brief summary of work (6)

Interference

• Single-hop multi-flow: X channel [COMML 14, TCOM 15]

Praneeth Kumar V., S. Bhashyam, "MIMO Gaussian X Channel: Noisy Interference Regime," IEEE Communications Letters, Aug. 2014.

R. Prasad, S. Bhashyam, A. Chockalingam, "On the Sum-Rate of the Gaussian MIMO Z Channel and the Gaussian MIMO X Channel," IEEE Transactions on Communications, Feb. 2015.

Brief summary of work (7)

Interference

Multi-hop multi-flow: two-way relaying, multiple allcast [тсом 15, тіт 13]

V. N. Swamy, S. Bhashyam, R. Sundaresan, P. Viswanath, "An asymptotically optimal push-pull method for multicasting over a random network," IEEE Transactions on Information Theory, Aug. 2013.

K. Ravindran, A. Thangaraj, S. Bhashyam, "LDPC Codes for Network-coded Bidirectional Relaying with Higher Order Modulation," IEEE Transactions on Communications, Jun 2015.

Sum capacity of the Gaussian many-to-one X channel²

² Joint work with Ranga Prasad (IISc) and A. Chockalingam(IISc). Preprint available at http://arxiv.org/abs/1403.5089 R. Prasad, S. Bhashyam, A. Chockalingam, "On the Gaussian many-to-one X channel," Submitted to IEEE Transactions on Information Theory in March 2014, Revised June 2015.

Single-hop interference networks: History



3×3 Gaussian many-to-one X channel



• One flow on each link $(R_{ij}: \text{Rate from Tx } j \text{ to Rx } i)$

Motivation

Possible scenario



- Captures essential features, easier for analysis
- Results can be used to find bounds for more general topologies

Channel in standard form

Reduce the number of parameters required



\$\mathcal{C}(\mathbf{P}', \mathbf{h}, \mathbf{N}) = \mathcal{C}_{standard}(\mathbf{P}, a, b)\$
\$\mathcal{Z}_i\$ IID \$\sim N(0, 1)\$, \$\mathbf{P}\$, \$\mathcal{P}'\$: power constraints, \$\mathbf{N}\$: noise variance vector

July 3, 2015 21 / 52

Sum capacity

Capacity region (5-dimensional) not easy to characterize

• C = Set of all achievable **R** = ($R_{11}, R_{22}, R_{12}, R_{33}, R_{13}$)

Sum capacity

Capacity region (5-dimensional) not easy to characterize

• C =Set of all achievable $\mathbf{R} = (R_{11}, R_{22}, R_{12}, R_{33}, R_{13})$

Alternatives

• Partial characterization: Sum capacity C_{sum}, Weighted sum capacity

$$C_{sum} = \max_{\mathbf{R} \in \mathcal{C}} \left[R_{11} + R_{22} + R_{12} + R_{33} + R_{13} \right]$$

Asymptotics: Generalized degrees of freedom region (set of achievable d = (d₁₁, d₂₂, d₁₂, d₃₃, d₁₃))

$$d_{ij} = \lim_{\mathsf{P} \to \infty} \frac{R_{ij}(\mathsf{P})}{\log \mathsf{P}}$$

• Approximations and Bounds: Within a constant gap

Sum capacity in this talk

Many-to-one Interference Channel (IC)

A special case of the many-to-one XC



- Sum capacity in a low-interference regime³
- Capacity within a constant gap⁴

³Annapureddy & Veeravalli 2009, Cadambe & Jafar 2009
 ⁴Bresler. Parekh & Tse 2010, Jovicic, Wang, & Viswanath 2010

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Rest of this talk

- $3\,\times\,3$ Many-to-one XC
 - Transmission strategies for the many-to-one XC
 - Treat interference from a subset of transmitters as noise
 - Use of Gaussian codebooks
 - Conditions for sum rate optimality

Extensions to K \times K Many-to-one XC

Results for K \times K Many-to-one IC

Preview of result



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Preview of result



• Strategy M1: optimal for many-to-one IC under the same conditions

Strategy M1: Treating Interference as Noise (TIN)



Achieved sum-rate

$$R_{sum} = \frac{1}{2}\log_2\left(1 + \frac{P_1}{a^2P_2 + b^2P_3 + 1}\right) + \frac{1}{2}\log_2\left(1 + P_2\right) + \frac{1}{2}\log_2\left(1 + P_3\right)$$

Strategy M2



Achieved sum-rate

$$R_{sum} = \frac{1}{2}\log_2\left(1 + \frac{P_1 + a^2 P_2}{b^2 P_3 + 1}\right) + \frac{1}{2}\log_2\left(1 + P_3\right)$$

Strategy M2



Achieved sum-rate

$$R_{sum} = \frac{1}{2}\log_2\left(1 + \frac{P_1 + b^2 P_3}{a^2 P_2 + 1}\right) + \frac{1}{2}\log_2\left(1 + P_2\right)$$

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Strategy M3



Achieved sum-rate

$$R_{sum} = \frac{1}{2} \log_2 \left(1 + P_1 + a^2 P_2 + b^2 P_3 \right)$$

Sum-rate optimality of Strategy M1 (TIN)



Strategy M1 achieves sum capacity if $a^2 + b^2 \leq 1$

July 3, 2015 30 / 52

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Sum-rate optimality of Strategy M2



Strategy M2 achieves sum capacity if $b^2 < 1$ and $a^2 \geq rac{(1+b^2P_3)^2}{1-b^2}$

 July 3, 2015
 31 / 52

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Approximate sum-rate optimality of Strategy M3



Strategy M3 achieves rates within

$$\frac{1}{2}\log_2\left(\frac{1-(1+b^2P_3)^{-1}\rho^2}{1-\rho^2}\right) \text{bits}$$

of sum capacity if $b^2 \geq 1$ and $a^2 \geq rac{(1+b^2P_3)^2}{
ho^2}$

Sum-rate optimality proofs: Outline

Need an upper bound that matches achievable sum-rate

Upper bound using

- Fano's inequality
- Worst-case additive noise result (or) Extremal inequality (or) Entropy-Power inequality (EPI)
- Genie-aided channel/Channel with side information (M2 & M3)

Preliminaries

 $X, Y \sim p(x, y)$: Random variables/vectors

- Measure of information: Entropy H(X) or Differential entropy h(X)
- Conditional entropy: H(X|Y = y), H(X|Y)
- Conditioning reduces entropy: $H(X|Y) \le H(X)$
- Mutual information between X and Y: $I(X; Y) = H(Y) - H(Y|X) = H(X) - H(X|Y) \ge 0$
- h(X) is maximized by Gaussian X under a covariance constraint

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Preliminaries

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Coding over n channel uses

$$W$$
 — Encoder x^n Channel y^n Decoder \hat{W}

 $W \in \mathcal{W} = \{1, 2, \dots, 2^{nR}\} \Longrightarrow R$ bits/channel use

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Fano's inequality

Relates probability of error to conditional entropy

Let $(W, V) \sim p(w, v)$ and $P_e = \mathsf{P}[W \neq V]$. Then

 $H(W|V) \le 1 + P_e \log |\mathcal{W}|.$

Fano's inequality

Relates probability of error to conditional entropy

Let
$$(W, V) \sim p(w, v)$$
 and $P_e = P[W \neq V]$. Then

 $H(W|V) \le 1 + P_e \log |\mathcal{W}|.$

How are we going to use this?

$$W \in \mathcal{W} = \{1, 2, \dots, 2^{nR}\} \Longrightarrow 1 + P_e^{(n)} \log(2^{nR}) = n(RP_e^{(n)} + \frac{1}{n})$$

$$\mathbf{y}^n \longrightarrow$$
 Decoder \hat{W}

Suppose $P_e^{(n)} \to 0$ as $n \to \infty$. Then

$$H(W|\mathbf{y}^n) \leq H(W|\hat{W}) \leq n\epsilon_n$$

where $\epsilon_n \to 0$ as $n \to \infty$

Worst-case additive noise



•
$$\mathbf{Z}^n \sim \mathcal{N}(\mathbf{0}, \Sigma_Z)$$
 IID

• \mathbf{X}^n : average covariance constraint Σ_X

Worst case noise result (Diggavi & Cover 01, Annapureddy & Veeravalli 09)

$$h(\mathbf{X}^n) - h(\mathbf{X}^n + \mathbf{Z}^n) \le nh(\mathbf{X}_G) - nh(\mathbf{X}_G + \mathbf{Z}),$$

where $\mathbf{X}_{G} \sim \mathcal{N}(\mathbf{0}, \Sigma_{X})$.

A more general result⁵

$$\begin{split} \sum_{i=1}^{K} h(X_i^n + Z_i^n) &- h\Big(\sum_{i=1}^{K} c_i X_i^n + Z_1^n\Big) \\ &\leq n \sum_{i=1}^{K} h(X_{iG} + Z_i) - nh\Big(\sum_{i=1}^{K} c_i X_{iG} + Z_1\Big), \\ &\text{if } \sum_{i=1}^{K} c_i^2 \leq \sigma^2 \end{split}$$

- X_i^n with power constraint $\sum_{j=1}^n \mathbb{E}[(X_{ij}^2] \le nP_i)$
- Z_1^n vector with IID $\mathcal{N}(0, \sigma^2)$ components
- Z_i^n , $i \neq 1$ vector with IID $\mathcal{N}(0,1)$ components
- X_i^n are independent of Z_i^n
- $X_{iG} \sim \mathcal{N}(0, P_i)$

⁵Lemma 5 from Annapureddy & Veeravalli 2009 in different form

Degraded receivers



If a² ≤ 1, Rx 1 is a degraded version of Rx 2 w.r.t. W₁₂
If b² ≤ 1, Rx 1 is a degraded version of Rx 3 w.r.t. W₁₃

Proof of sum-rate optimality of Strategy M1 (1)

Let S denote any achievable sum-rate. Want to show

$$S \leq I(x_{1G}; y_{1G}) + I(x_{2G}; y_{2G}) + I(x_{3G}; y_{3G}).$$

$$hS \leq H(W_{11}) + H(W_{12}, W_{22}) + H(W_{13}, W_{33})$$

$$= I(W_{11}; \mathbf{y}_{1}^{n}) + \sum_{i=2}^{3} I(W_{1i}, W_{ii}; \mathbf{y}_{i}^{n})$$

$$+ H(W_{11} | \mathbf{y}_{1}^{n}) + \sum_{i=2}^{3} H(W_{1i}, W_{ii} | \mathbf{y}_{i}^{n})$$

$$\leq I(\mathbf{x}_{1}^{n}; \mathbf{y}_{1}^{n}) + \sum_{i=2}^{3} I(\mathbf{x}_{i}^{n}; \mathbf{y}_{i}^{n})$$

$$+ H(W_{11} | \mathbf{y}_{1}^{n}) + \sum_{i=2}^{3} H(W_{1i}, W_{ii} | \mathbf{y}_{i}^{n})$$

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Proof of sum-rate optimality of Strategy M1 (2)

$$nS \leq l(\mathbf{x}_{1}^{n}; \mathbf{y}_{1}^{n}) + \sum_{i=2}^{3} l(\mathbf{x}_{i}^{n}; \mathbf{y}_{i}^{n}) + H(W_{11} | \mathbf{y}_{1}^{n}) + \sum_{i=2}^{3} H(W_{1i}, W_{ii} | \mathbf{y}_{i}^{n})$$

$$\stackrel{(a)}{\leq} h(\mathbf{y}_{1}^{n}) - h(a\mathbf{x}_{2}^{n} + b\mathbf{x}_{3}^{n} + \mathbf{n}_{1}^{n}) + h(\mathbf{x}_{2}^{n} + \mathbf{n}_{2}^{n}) - h(\mathbf{n}_{2}^{n}) + h(\mathbf{x}_{3}^{n} + \mathbf{n}_{3}^{n})$$

$$-h(\mathbf{n}_{3}^{n}) + \mathbf{5}\epsilon_{n}$$

$$\stackrel{(b)}{\leq} nh(y_{1G}) - nh(ax_{2G} + bx_{3G} + n_{1}) + nh(x_{2G} + n_{2}) + nh(x_{3G} + n_{3})$$

$$-nh(n_{2}) - nh(n_{3}) + \mathbf{5}\epsilon_{n}$$

$$= nl(x_{1G}; y_{1G}) + nl(x_{2G}; y_{2G}) + nl(x_{3G}; y_{3G}) + \mathbf{5}\epsilon_{n},$$

(a): Fano's inequality,
$$a^2 \le 1$$
 and $b^2 \le 1$
(b): Generalized form of worst-case noise result, $a^2 + b^2 \le 1$

Proof of sum-rate optimality of Strategy M2 (1)

Want to show $S \leq I(x_{1G}, x_{2G}; y_{1G}) + I(x_{3G}; y_{3G})$.



Show S ≤ I(x_{1G}, x_{2G}; y_{1G}, s_{1G}) + I(x_{3G}; y_{3G})
 E[N₁Z₁] = ρ, η > 0 chosen later

Proof of sum-rate optimality of Strategy M2 (2)

$$nS \leq H(W_{11}, W_{12}, W_{22}) + H(W_{13}, W_{33})$$

$$= I(W_{11}, W_{12}, W_{22}; \mathbf{y}_{1}^{n}, \mathbf{s}_{1}^{n}) + H(W_{11} | \mathbf{y}_{1}^{n}, \mathbf{s}_{1}^{n}) + H(W_{12} | \mathbf{y}_{1}^{n}, \mathbf{s}_{1}^{n}, \mathbf{x}_{1}^{n})$$

$$+ H(W_{22} | \mathbf{y}_{1}^{n}, \mathbf{s}_{1}^{n}, \mathbf{x}_{1}^{n}, W_{12}) + I(W_{13}, W_{33}; \mathbf{y}_{3}^{n}) + H(W_{13} | \mathbf{y}_{3}^{n})$$

$$+ H(W_{33} | \mathbf{y}_{3}^{n}, W_{13})$$

$$\leq I(\mathbf{x}_{1}^{n}, \mathbf{x}_{2}^{n}; \mathbf{y}_{1}^{n}, \mathbf{s}_{1}^{n}) + H(W_{11} | \mathbf{y}_{1}^{n}) + H(W_{12} | \mathbf{y}_{1}^{n})$$

$$+ H(W_{22} | \mathbf{s}_{1}^{n}, \mathbf{x}_{1}^{n}) + I(\mathbf{x}_{3}^{n}; \mathbf{y}_{3}^{n}) + H(W_{13} | \mathbf{y}_{3}^{n}) + H(W_{33} | \mathbf{y}_{3}^{n}),$$

$$\stackrel{(a)}{\leq} I(\mathbf{x}_{1}^{n}, \mathbf{x}_{2}^{n}; \mathbf{y}_{1}^{n}, \mathbf{s}_{1}^{n}) + I(\mathbf{x}_{3}^{n}; \mathbf{y}_{3}^{n}) + 5n\epsilon_{n} \qquad (1)$$

$$(a): \eta^{2} \leq a^{2} \text{ and } b^{2} \leq 1$$

Proof of sum-rate optimality of Strategy M2 (3)

$$\begin{split} nS &\leq l(\mathbf{x}_{1}^{n}, \mathbf{x}_{2}^{n}; \mathbf{y}_{1}^{n}, \mathbf{s}_{1}^{n}) + l(\mathbf{x}_{3}^{n}; \mathbf{y}_{3}^{n}) + 5n\epsilon_{n} \\ &= l(\mathbf{x}_{1}^{n}, \mathbf{x}_{2}^{n}; \mathbf{s}_{1}^{n}) + l(\mathbf{x}_{1}^{n}, \mathbf{x}_{2}^{n}; \mathbf{y}_{1}^{n} | \mathbf{s}_{1}^{n}) + l(\mathbf{x}_{3}^{n}; \mathbf{y}_{3}^{n}) + 5n\epsilon_{n} \\ &= h(\mathbf{s}_{1}^{n}) - h(\mathbf{s}_{1}^{n} | \mathbf{x}_{1}^{n}, \mathbf{x}_{2}^{n}) + h(\mathbf{y}_{1}^{n} | \mathbf{s}_{1}^{n}) \\ &- h(\mathbf{y}_{1}^{n} | \mathbf{s}_{1}^{n}, \mathbf{x}_{1}^{n}, \mathbf{x}_{2}^{n}) + h(\mathbf{y}_{3}^{n}) - h(\mathbf{y}_{3}^{n} | \mathbf{x}_{3}^{n}) + 5n\epsilon_{n} \\ &\leq nh(s_{1G}) - nh(\eta z_{1}) + nh(y_{1G} | s_{1G}) \\ &- h(b \mathbf{x}_{3}^{n} + \tilde{\mathbf{n}}_{1}^{n}) + h(\mathbf{x}_{3}^{n} + \mathbf{n}_{3}^{n}) - nh(n_{3}) + 5n\epsilon_{n} \\ \stackrel{(b)}{\leq} nh(s_{1G}) - nh(\eta z_{1}) + nh(y_{1G} | s_{1G}) \\ &- nh(b x_{3G} + \tilde{n}_{1}) + nh(x_{3G} + n_{3}) - nh(n_{3}) + 5n\epsilon_{n} \\ &= nl(x_{1G}, x_{2G}; y_{1G}, s_{1G}) + nl(x_{3G}; y_{3G}) + 5n\epsilon_{n}, \end{split}$$

(b): $b^2 \leq 1 - \rho^2$

Proof of sum-rate optimality of Strategy M2 (4)

Choose

$$\eta \rho = 1 + b^2 P_3$$

to get

$$I(x_{1G}, x_{2G}; y_{1G}, s_{1G}) = I(x_{1G}, x_{2G}; y_{1G})$$

Then, choose

$$\rho^2 = 1 - b^2$$

to get the final result

$$b^2 < 1$$
 and $a^2 \ge rac{(1+b^2P_3)^2}{1-b^2}$

Back to the numerical result



•
$$P_1 = P_2 = P_3 = 0 \text{ dB}$$

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Strategy M1 for the $K \times K$ many-to-one XC



Strategy M1 achieves sum capacity if $\sum_{i=2}^{K} h_i^2 < 1$

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Strategy M2 for the $K \times K$ many-to-one XC



Strategy M2 achieves sum capacity if

$$\sum_{=2, j \neq k}^{K} h_j^2 < 1 \text{ and } h_k^2 \geq \frac{(1 + \sum_{j=2}^{K} h_j^2 P_j)^2}{1 - \sum_{j=2, j \neq k}^{K} h_j^2}$$

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$K \times K$ many-to-one IC



Strategies MIk for $k = 1, 2, \ldots, K$

- Decode interference from transmitters 2 to k (for $k \ge 2$)
- Treat interference from transmitters k + 1 to K as noise

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Result for the 3 \times 3 many-to-one IC



$$P_1 = P_2 = P_3 = 3 dB$$

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Summary

Many-to-one XC

- Strategies where a subset of interfering signals are treated as noise
- Conditions for sum-rate optimality
- 3×3 case
- $K \times K$ case

Many-to-one IC

• Strategies MIk and conditions for sum-rate optimality

Summary

Many-to-one XC

- Strategies where a subset of interfering signals are treated as noise
- Conditions for sum-rate optimality
- 3×3 case
- $K \times K$ case

Many-to-one IC

• Strategies MIk and conditions for sum-rate optimality

Current work

- Sum capacity for other channel conditions
- More general topologies: Approximate sum-rate optimality
- $\bullet\,$ Recent results for strategy M1 (TIN) by Geng, Sun & Jafar 2014

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Ultimate goal: Multi-hop multi-flow wireless networks

Fundamental limits: Capacity region



- Network: nodes, bandwidth, power
- R_k : Information flow rate from S_k to D_k
- Is reliable communication at (R_1, R_2, \cdots, R_K) feasible?

Thank you

http://www.ee.iitm.ac.in/~skrishna/